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Bib Data Sheet

CONFIRMATION NO. 9308

<b>SERIAL NUMBER</b> 10/071,053	<b>FILING DATE</b> 02/08/2002 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2164	<b>ATTORNEY DOCKET NO.</b> 1800-002B	
<b>APPLICANTS</b> Bruce Bent, Plandome, NY; Bruce Bent II, New York, NY;					
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A CIP OF 09/176,340 10/21/1998 PAT 6,374,231 AND A CIP OF 09/677,535 10/02/2000 <i>O, K JS</i>					
<b>** FOREIGN APPLICATIONS *****</b> <i>INVENT</i>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY **</b> <b>** 04/04/2002</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged <i>8</i>		<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWING</b> 6	<b>TOTAL CLAIMS</b> 20	<b>INDEPENDENT CLAIMS</b> 3
<b>ADDRESS</b> Steven R. Bartholomew Morgan, Lewis & Bockius The Lincoln Building, Suite 4100 60 East 42nd Street New York, NY 10156					
<b>TITLE</b> Systems and methods for administering return sweep accounts					
<b>FILING FEE RECEIVED</b> 370	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		